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3503 U.S. PRO  
10/020718  
12/14/01



**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. : 42055/SAH/K415  
Inventor(s) : Philip J. Kellman, Ph.D.  
Title : System and Method for Adaptive Learning  
Express Mail Label No. : EL 904750295 US

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Date: December 14, 2001

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. **IF A CONTINUING APPLICATION**

\_\_\_ This application is a \_\_\_ of patent application No. .

Prior application information: Examiner ; Group Art Unit:

\_\_\_ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4),  
to provisional Application No. .

3. **APPLICATION COMPRISED OF**

**Specification**

72 Specification, claims and Abstract (total pages)

**Drawings**

12 Sheets of formal drawing(s) (FIGS. 1 to 12)

**Declaration and Power of Attorney**

☒ Newly executed  
\_\_\_ Unexecuted declaration  
\_\_\_ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. \_\_\_ **Microfiche Computer Program** (Appendix)

5. \_\_\_ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)

\_\_\_ Computer Readable Copy  
\_\_\_ Paper Copy (identical to computer copy)  
\_\_\_ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

☒ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL  
ENTITY

**UTILITY PATENT APPLICATION TRANSMITTAL**  
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**7. ALSO ENCLOSED ARE**

- ☐ Preliminary Amendment
  - ☐ Includes "Cross-Reference to Related Applications"
- ☐ A Petition for Extension of Time for the parent application and the required fee are enclosed
- ☒ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☐ Information Disclosure Statement (IDS)/PTO-1449
  - ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☒ Other Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)

**8. CORRESPONDENCE ADDRESS**

**CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068**  
**Customer Number: 23363**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By

  
Art Hasan

Reg. No. 41,057

626/795-9900

SAH/jhg

**FEE TRANSMITTAL  
UTILITY PATENT APPLICATION**

**DATE: December 14, 2001**

Docket No. : 42055/SAH/K415

Inventor(s) : Philip J. Kellman, Ph.D.

Title : System and Method for Adaptive Learning

Duplicate     

**FEE DETERMINATION**

CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	62 - 20	42	42 x \$9.00	x \$18.00	\$378.00
INDEPENDENT CLAIMS	8 - 3	5	5 x \$42.00	x \$84.00	\$210.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$140.00	\$280.00	\$0.00
BASIC FEE			\$370.00	\$740.00	\$370.00
TOTAL FILING FEE					\$958.00
List Independent Claims: 1, 21, 31, 32, 43, 50, 51, 57					

**METHOD OF PAYMENT**

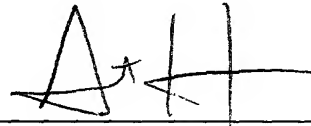
  X   Payment Enclosed: Check for \$958.00

  X   The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



Art Hasan  
Reg. No. 41,057  
626/795-9900

SAH/jhg

JHG PAS402607.1-\*12/14/01 11:52 AM

NOTES FOR THE ATTORNEY

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXPRESS MAIL NO. EL 904750295US

Applicant : Philip J. Kellman, Ph.D.  
Filed :  
Title : System and Method for Adaptive Learning  
Docket No. : 42055/SAH/K415

REQUEST AND CERTIFICATION  
UNDER 35 U.S.C. 122(b)(2)(B)(i)

Assistant Commissioner for Patents  
Washington, D.C. 20231

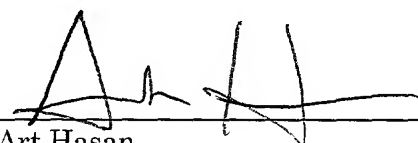
Post Office Box 7068  
Pasadena, CA 91109-7068  
December 14, 2001

Commissioner:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By   
Art Hasan  
Reg. No. 41,057  
626/795-9900

SAH/jhg

10020718-121401  
PATENT